

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Yu SUI et al.

Docket No.

2004US301

Serial No.

10/808,884

Filing Date

March 25, 2004

Examiner

DUDA, Kathleen

Group Art Unit

1756

Invention: **POSITIVE-WORKING PHOTOIMAGEABLE BOTTOM ANTIREFLECTIVE COATING**I hereby certify that this Fee Transmittal (1 original page and 1 copy page) - 2 Pages

(Identify type of correspondence)

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MARIA T. SANCHEZ

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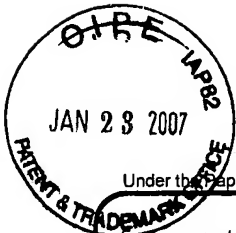
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PTO/SB/17 (07-06)

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Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# FEE TRANSMITTAL

## For FY 2006

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 450

**Complete if Known**

|                      |                |
|----------------------|----------------|
| Application Number   | 10/808,884     |
| Filing Date          | March 25, 2004 |
| First Named Inventor | Yu SUI et al.  |
| Examiner Name        | DUDA, Kathleen |
| Art Unit             | 1756           |
| Attorney Docket No.  | 2004US301      |

**METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_☒ Deposit Account Deposit Account Number: 50-3309 Deposit Account Name: AZ Electronic Materials

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee☐ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☐ Credit any overpayments

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**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

| Application Type | FILING FEES |                       | SEARCH FEES |                       | EXAMINATION FEES |                       | Fees Paid (\$) |
|------------------|-------------|-----------------------|-------------|-----------------------|------------------|-----------------------|----------------|
|                  | Fee (\$)    | Small Entity Fee (\$) | Fee (\$)    | Small Entity Fee (\$) | Fee (\$)         | Small Entity Fee (\$) |                |
| Utility          | 300         | 150                   | 500         | 250                   | 200              | 100                   |                |
| Design           | 200         | 100                   | 100         | 50                    | 130              | 65                    |                |
| Plant            | 200         | 100                   | 300         | 150                   | 160              | 80                    |                |
| Reissue          | 300         | 150                   | 500         | 250                   | 600              | 300                   |                |
| Provisional      | 200         | 100                   | 0           | 0                     | 0                | 0                     |                |

**2. EXCESS CLAIM FEES****Fee Description**

Each claim over 20 (including Reissues)

| Fee (\$) | Small Entity Fee (\$) |
|----------|-----------------------|
| 50       | 25                    |

Each independent claim over 3 (including Reissues)

|     |     |
|-----|-----|
| 200 | 100 |
|-----|-----|

Multiple dependent claims

|     |     |
|-----|-----|
| 360 | 180 |
|-----|-----|

| Total Claims | Extra Claims   | Fee (\$) | Fee Paid (\$) |
|--------------|----------------|----------|---------------|
| 25           | - 20 or HP = 5 | x 50 =   | 250           |

HP = highest number of total claims paid for, if greater than 20.

| Indep. Claims | Extra Claims  | Fee (\$) | Fee Paid (\$) |
|---------------|---------------|----------|---------------|
| 4             | - 3 or HP = 1 | x 200 =  | 200           |

HP = highest number of independent claims paid for, if greater than 3.

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof | Fee (\$) | Fee Paid (\$) |
|--------------|--------------|--|----------|---------------|
| - 100 =      | / 50 =       | (round up to a whole number) x                   | =        |               |

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): \_\_\_\_\_

**Fees Paid (\$)****SUBMITTED BY**

|                   |             |  |                          |
|-------------------|-------------|--|--------------------------|
| Signature         |             | Registration No. (Attorney/Agent) 38,504 | Telephone (908) 429-3536 |
| Name (Print/Type) | SANGYA JAIN | Date January 23, 2007                    |                          |

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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01-24-07

PATENT APPLICATION

*JPno*  
*\$*



**CERTIFICATE OF MAILING/FACSIMILE TRANSMISSION (37 CFR 1.8)**

I hereby certify that this paper (along with any paper referred to as being transmitted therewith) is being deposited with the United States Postal Service in an envelope addressed to Commissioner for Patents, P.O. Box. 1450, Alexandria, Virginia 22313-1450 on the date shown below as EXPRESS MAIL POST OFFICE TO ADDRESSEE, MAILING LABEL NO. EV 689560274 US.

Maria T. Sanchez  
(Print Name)

Date: January 23, 2007

*Maria T. Sanchez*  
(Signature)

Docket No. 2004US301

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the application of:

Yu SUI et al.

Serial No.: 10/808,884

Art Unit: 1756

Filed: March 25, 2004

Examiner: DUDA, Kathleen

For: **POSITIVE-WORKING PHOTOIMAGEABLE BOTTOM ANTIREFLECTIVE COATING**

**AMENDMENT**

January 23, 2007

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

01/25/2007 WASFAW1 00000031 503309 10808884

01 FC:1202 250.00 DA  
02 FC:1201 200.00 DA

EXPRESS MAIL MAILING LABEL NO. EV 689560274 US

Serial No.: 10/808,884  
Filed: March 25, 2004

Dear Examiner,

This is in response to the official action mailed October 23, 2006. Claims 1, 4, 5, 7, 8, 19 and 21 have been amended. Claim 6 has been deleted and new claims 22-30 have been added. The response contains a complete set of claims and a remarks section.

A fee transmittal form for the extra claims which have been added (making the total of 5 extra claims and 1 extra independent claim) is enclosed.

The Commissioner is hereby authorized to charge deposit account 50-3309 the amount of \$450 for the extra claims which have been added (making the total of claims 25).

The Examiner had raised issues with the references of Choi and Oberlander. A corrected IDS was submitted on November 16, 2006.

An additional IDS is also being submitted.

The Commissioner is hereby authorized to charge deposit account 50-3309 \$180.00 for the additional IDS. The Commissioner is also authorized to credit any overpayment or charge any fee deficiency to Deposit Account No. 50-3309.

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